

Docket No.: M4065.0696/P696  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
John T. Moore

Application No.: 09/921,518

Art Unit: 2818

Filed: August 1, 2001

Examiner: P. T. Dang

For: METHOD OF FORMING INTEGRATED  
CIRCUITRY, METHOD OF FORMING  
MEMORY CIRCUITRY, AND METHOD  
OF FORMING RANDOM ACCESS  
MEMORY CIRCUITRY (AMENDED)

**REQUEST FOR ACKNOWLEDGMENT OF  
INFORMATION DISCLOSURE STATEMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant respectfully requests that the receipt and consideration of the Information Disclosure Statements filed on June 4, 2004 and June 18, 2004 be formally acknowledged at the earliest possible convenience. For convenience, attached hereto are copies of the Information Disclosure Statements, Forms PTO SB/08 and the corresponding postcard receipts with date-stamps showing the dates of filing of each of the above listed Information Disclosure Statements.

Application No.: 09/921,518

Docket No.: M4065.0696/P696

Dated: September 7, 2004

Respectfully submitted,

By 

Thomas J. D'Amico

Registration No.: 28,371

Christopher S. Chow

Registration No.: 46,493

DICKSTEIN SHAPIRO MORIN &

OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 785-9700

Attorneys for Applicant

**Inventor:** John T. Moore

**Atty Docket No.:** M4065.0696/P696

**Application No.:** 09/921,518-Conf. #6082

**Filing Date:** August 1, 2001

**Title:** METHOD OF FORMING INTEGRATED CIRCUITRY, METHOD OF FORMING  
MEMORY CIRCUITRY, AND METHOD OF FORMING RANDOM ACCESS  
MEMORY CIRCUITRY (AMENDED)

**Documents Filed:**

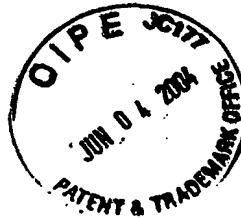
Information Disclosure Statement (2 page s in duplicate)

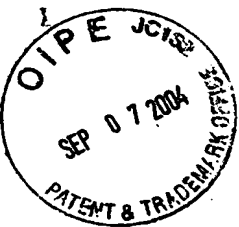
PTO SB/08 (3 page s) with references

**Via:** PTO DAILY RUN

**Sender's Initials:** TJD/CSC/cdl

**Date:** June 4, 2004





Docket No.: M4065.0696/P696  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
John T. Moore

Application No.: 09/921,518

Filed: August 1, 2001

Art Unit: 2818

For: METHOD OF FORMING INTEGRATED CIRCUITRY, METHOD OF FORMING MEMORY CIRCUITRY, AND METHOD OF FORMING RANDOM ACCESS MEMORY CIRCUITRY (AMENDED) Examiner: P. T. Dang

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the reference listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A copy of the reference listed on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed reference.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. M4065.0696/P696. A duplicate copy of this paper is enclosed.

Dated: June 4, 2004

Respectfully submitted

By 

Thomas J. D'Amico

Registration No.: 28,371

Christopher S. Chow

Registration No.: 46,493

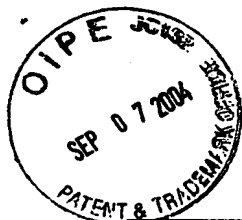
DICKSTEIN SHAPIRO MORIN &  
OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 785-9700

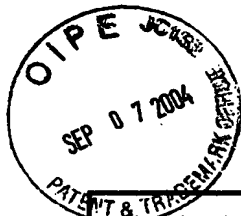
Attorneys for Applicant



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete If Known</b>	
				Application Number	09/921,518
				Filing Date	August 1, 2001
				First Named Inventor	John T. Moore
				Art Unit	2818
				Examiner Name	P.T. Dang
Sheet	1	of	3	Attorney Docket Number	M4065.0696/P696

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A	US 2004/0035401	2/2004	Ramachandran et al.	
	B	US 2003/0212724	11/2003	Ovshinsky et al.	
	C	US 2003/0048744	3/2003	Ovshinsky et al.	
	D	US 2003/0212725	11/2003	Ovshinsky et al.	
	E	US RE 37,259E	7/2001	Ovshinsky	
	F	US 3,271,591	9/1966	Ovshinsky	
	G	US 3,961,314	6/1976	Klose et al.	
	H	US 3,966,317	6/1976	Wacks et al.	
	I	US 3,983,542	11/1976	Ovshinsky	
	J	US 3,988,720	10/1976	Ovshinsky	
	K	US 4,177,474	12/1979	Ovshinsky	
	L	US 4,267,261	5/1981	Hallman et al.	
	M	US 4,597,162	7/1986	Johnson et al.	
	N	US 4,608,296	8/1986	Keem et al.	
	O	US 4,637,895	1/1987	Ovshinsky et al.	
	P	US 4,646,266	2/1987	Ovshinsky et al.	
	Q	US 4,664,939	5/1987	Ovshinsky	
	R	US 4,668,968	5/1987	Ovshinsky et al.	
	S	US 4,670,763	6/1987	Ovshinsky et al.	
	T	US 4,673,957	6/1987	Ovshinsky et al.	
	U	US 4,678,679	7/1987	Ovshinsky	
	V	US 4,696,758	9/1987	Ovshinsky et al.	
	W	US 4,698,234	10/1987	Ovshinsky et al.	
	X	US 4,710,899	12/1987	Young et al.	
	Y	US 4,728,406	3/1988	Banerjee et al.	
	Z	US 4,737,379	4/1988	Hudgens et al.	
	A1	US 4,766,471	8/1988	Ovshinsky et al.	
	B1	US 4,769,338	9/1988	Ovshinsky et al.	
	C1	US 4,775,425	10/1988	Guha et al.	
	D1	US 4,788,594	11/1988	Ovshinsky et al.	
	E1	US 4,809,044	2/1989	Pryor et al.	
	F1	US 4,818,717	4/1989	Johnson et al.	
	G1	US 4,843,443	6/1989	Ovshinsky et al.	
	H1	US 4,845,533	7/1989	Pryor et al.	
	I1	US 4,853,785	8/1989	Ovshinsky et al.	
	J1	US 4,891,330	1/1990	Guha et al.	
	K1	US 5,128,099	7/1992	Strand et al.	
	L1	US 5,159,661	10/1992	Ovshinsky et al.	
	M1	US 5,166,758	11/1992	Ovshinsky et al.	
	N1	US 5,177,567	1/1993	Klersy et al.	
	O1	US 5,296,716	3/1994	Ovshinsky et al.	
	P1	US 5,335,219	8/1994	Ovshinsky et al.	
	Q1	US 5,359,205	10/1994	Ovshinsky	
	R1	US 5,341,328	8/1994	Ovshinsky et al.	
	S1	US 5,406,509	4/1995	Ovshinsky et al.	



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete If Known

Application Number	09/921,518
Filing Date	August 1, 2001
First Named Inventor	John T. Moore
Art Unit	2818
Examiner Name	P.T. Dang
Attorney Docket Number	M4065.0696/P696

Sheet 2 of 3

T1	US 5,414,271	5/1995	Ovshinsky et al.
U1	US 5,534,711	7/1996	Ovshinsky et al.
V1	US 5,534,712	7/1996	Ovshinsky et al.
W1	US 5,536,947	7/1996	Klersy et al.
X1	US 5,543,737	8/1996	Ovshinsky
Y1	US 5,591,501	1/1997	Ovshinsky et al.
Z1	US 5,596,522	1/1997	Ovshinsky et al.
A2	US 5,687,112	11/1997	Ovshinsky
B2	US 5,694,054	12/1997	Ovshinsky et al.
C2	US 5,714,768	2/1998	Ovshinsky et al.
D2	US 5,825,046	10/1998	Czubatyj et al.
E2	US 5,912,839	6/1999	Ovshinsky et al.
F2	US 5,933,365	8/1999	Klersy et al.
G2	US 6,011,757	1/2000	Ovshinsky
H2	US 6,087,674	7/2000	Ovshinsky et al.
I2	US 6,141,241	10/2000	Ovshinsky et al.
J2	US 6,339,544	1/2002	Chiang et al.
K2	US 6,404,665	6/2002	Lowery et al.
L2	US 6,429,064	8/2002	Wicker
M2	US 6,437,383	8/2002	Xu
N2	US 6,462,984	10/2002	Xu et al.
O2	US 6,480,438	11/2002	Park
P2	US 6,487,113	11/2002	Park et al.
Q2	US 6,501,111	12/2002	Lowery
R2	US 6,507,061	1/2003	Hudgens et al.
S2	US 6,511,862	1/2003	Hudgens et al.
T2	US 6,511,867	1/2003	Lowery et al.
U2	US 6,512,241	1/2003	Lai
V2	US 6,514,805	2/2003	Xu et al.
W2	US 6,531,373	3/2003	Gill et al.
X2	US 6,534,781	3/2003	Dennison
Y2	US 6,545,287	4/2003	Chiang
Z2	US 6,545,907	4/2003	Lowery et al.
A3	US 6,555,860	4/2003	Lowery et al.
B3	US 6,563,164	5/2003	Lowery et al.
C3	US 6,566,700	5/2003	Xu
D3	US 6,567,293	5/2003	Lowery et al.
E3	US 6,569,705	5/2003	Chiang et al.
F3	US 6,570,784	5/2003	Lowery
G3	US 6,576,921	6/2003	Lowery
H3	US 6,586,761	7/2003	Lowery
I3	US 6,589,714	7/2003	Maimon et al.
J3	US 6,590,807	7/2003	Lowery
K3	US 6,593,176	7/2003	Dennison
L3	US 6,597,009	7/2003	Wicker
M3	US 6,605,527	8/2003	Dennison et al.
N3	US 6,613,604	9/2003	Maimon et al.
O3	US 6,621,095	9/2003	Chiang et al.
P3	US 6,625,054	9/2003	Lowery et al.
Q3	US 6,642,102	11/2003	Xu

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete If Known</b>	
				Application Number	09/921,518
				Filing Date	August 1, 2001
				First Named Inventor	John T. Moore
				Art Unit	2818
				Examiner Name	P.T. Dang
Sheet	3	of	3	Attorney Docket Number	M4065.0696/P696

	R3	US 6,646,297	11/2003	Dennison	
	S3	US 6,649,928	11/2003	Dennison	
	T3	US 6,667,900	12/2003	Lowery et al.	
	U3	US 6,671,710	12/2003	Ovshinsky et al.	
	V3	US 6,673,700	1/2004	Dennison et al.	
	W3	US 6,674,115	1/2004	Hudgens et al.	
	X3	US 6,687,427	2/2004	Ramalingam et al.	
	Y3	US 6,690,026	2/2004	Peterson	
	Z3	US 6,696,355	2/2004	Dennison	
	A4	US 6,687,153	2/2004	Lowery	
	B4	US 6,707,712	3/2004	Lowery	
	C4	US 6,714,954	3/2004	Ovshinsky et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



Atty Docket No.: M4065.0696/P696

Inventor: John T. Moore

Application No.: 09/921,518-Conf. #6082 Filing Date: August 1, 2001  
Title: METHOD OF FORMING INTEGRATED CIRCUITRY, METHOD OF FORMING  
MEMORY CIRCUITRY, AND METHOD OF FORMING RANDOM ACCESS  
MEMORY CIRCUITRY (AMENDED)

**Documents Filed:**

Information Disclosure Statement (3 pages in duplicate)

Fee Transmittal (1 page in duplicate)

Payment by credit card. Form PTO-2038 is attached (1 page)

Charge \$180.00 to credit card

PTO SB/08 (1 page) with reference



Atty Docket No.: M4065.0696/P696

Inventor: John T. Moore

Application No.: 09/921,518-Conf. #6082 Filing Date: August 1, 2001  
Title: METHOD OF FORMING INTEGRATED CIRCUITRY, METHOD OF FORMING  
MEMORY CIRCUITRY, AND METHOD OF FORMING RANDOM ACCESS  
MEMORY CIRCUITRY (AMENDED)

**Documents Filed:**

Request for Acknowledgement of Information Disclosure Statement (2 pages)

Via: PTO DAILY RUN

Sender's Initials: TJD/CSC/cdl

Date: June 18, 2004



416-75-01

Docket No.: M4065.0696/P696  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

---

In re Patent Application of:  
John T. Moore

Application No.: 09/921,518

Confirmation No.: 6082

Filed: August 1, 2001

Art Unit: 2818

For: METHOD OF FORMING INTEGRATED  
CIRCUITRY, METHOD OF FORMING  
MEMORY CIRCUITRY, AND METHOD  
OF FORMING RANDOM ACCESS  
MEMORY CIRCUITRY (AMENDED)

---

Examiner: P. T. Dang

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed after the mailing date of a Final Office Action or Notice of Allowance, whichever occurred first, but on or before payment of the Issue Fee (37 CFR 1.97(d)). Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered.

I hereby certify that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a

counterpart foreign application and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

A copy of each reference on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Please charge our Credit Card in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). Credit Card Payment Form SB-2038, with a signature from an authorized cardholder, is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. M4065.0696/P696. A duplicate copy of this paper is enclosed.

Application No.: 09/921,518

Docket No.: M4065.0696/P696

Dated: June 18, 2004

Respectfully submitted,

By 

Thomas J. D'Amico

Registration No.: 28,371

Christopher S. Chow

Registration No.: 46,493

DICKSTEIN SHAPIRO MORIN &

OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 785-9700

Attorneys for Applicant

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete If Known</b>	
				Application Number	09/921,518-Conf. #6082
				Filing Date	August 1, 2001
				First Named Inventor	John T. Moore
				Art Unit	2818
				Examiner Name	P. T. Dang
Sheet	1	of	1	Attorney Docket Number	M4065.0696/P696

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US-6,673,648	01/06/2004	Lowrey	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.